

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB Control Number 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, **Mega International Commercial Bank Co., Ltd., Head Office (including all domestic branches) and all of its overseas branches and subsidiaries as listed in the attachment (the "Foreign Bank")** hereby certifies as follows:

* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification:

This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status:

The attachment indicates the countries where Foreign Bank maintains a physical presence. This means that Foreign Bank listed:

- Has a place of business at the street address indicated, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- Is authorized to conduct banking activities in the country where its place of business is located.
- Is subject to inspection by the banking authority identified that licensed or supervises the particular Foreign Bank to conduct banking activities.

D. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

Form FR Y-7 is on file. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7. **The Form was filed by Mega Financial Holding Co., Ltd. (address; 20F, No.123, Section2, Jhongsiao E. Rd., Taipei, Taiwan R.O.C.) which has 100% ownership of the Foreign Bank.**

F. Process Agent:

Mega International Commercial Bank, New York Branch is a resident of the United States at 65 Liberty Street, New York, NY10005, **and** is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

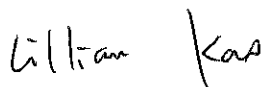
G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of

the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **Li-wen Kao**, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.



Li-wen Kao
Senior Vice President & General Manager
Overseas Business Management Department
Mega International Commercial Bank Co., Ltd.

Executed on this 5th day of June, 2023

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

USA PATRIOT Act Certification Attachment of Mega International Commercial Bank

Head Office 、 Overseas Branches & Subsidiaries List

Entity	Address	Banking Authority
Head Office	100 Chi-Lin Road, Taipei city 104489, Taiwan, R.O.C.	<ul style="list-style-type: none"> • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
New York Branch	65 Liberty Street, New York, NY 10005 U.S.A.	<ul style="list-style-type: none"> • Federal Reserve Bank of New York • New York State Department of Financial Services • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Los Angeles Branch	445 South Figueroa Street, Suite 1900, Los Angeles, CA 90071 U.S.A.	<ul style="list-style-type: none"> • Federal Reserve Bank of San Francisco • California The Department of Financial Protection and Innovation (DFPI) • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Silicon Valley Branch	333 West San Carlos Street, Suite 100, San Jose CA 95110 U.S.A.	<ul style="list-style-type: none"> • Federal Reserve Bank of San Francisco • California The Department of Financial Protection and Innovation (DFPI) • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Chicago Branch	222 West Adams Street, Suite 1985, Chicago, IL 60606 U.S.A.	<ul style="list-style-type: none"> • Federal Reserve Bank of Chicago • Illinois Department of Financial & Professional Regulation • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Panama Branch	Avenida Balboa, Torre Davivienda, Piso 9, Oficina No. 9A-B, Panama City, Republic of Panama	<ul style="list-style-type: none"> • Superintendencia de Bancos de Panama • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Paris Branch	102 Terrasse Boieldieu Tour W, 92800 Puteaux, France	<ul style="list-style-type: none"> • Bank of France • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Amsterdam Branch	World Trade Center, Strawinskylaan 1203 1077XX, Amsterdam The Netherlands	<ul style="list-style-type: none"> • De Nederlandsche Bank NV • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Tokyo Branch	7F, Kishimoto Bldg., No.2-1, Marunouchi 2-chome,, Chiyoda-ku,	<ul style="list-style-type: none"> • The Financial Services Agency of Japan • Financial Supervisory Commission, R.O.C.

Entity	Address	Banking Authority
	Tokyo 100-0005 Japan	<ul style="list-style-type: none"> • Central Bank of Republic of China (Taiwan)
Osaka Branch	3F Osaka U2 Bldg.2-4-7 Uchihommachi, Chuo-ku, Osaka, 540-0026,Japan	<ul style="list-style-type: none"> • The Financial Services Agency of Japan • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Manila Branch	3rd Floor, Pacific Star Bldg. Makati Avenue, Makati City Philippines	<ul style="list-style-type: none"> • Bangko Sentral NG Pilipinas • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Ho Chi Minh City Branch	Ground Floor, Landmark Building, 5B Ton Duc Thang, Dist 1, Ho Chi Minh City Vietnam	<ul style="list-style-type: none"> • State Bank of Vietnam • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Singapore Branch	80 Raffles Place # 23-20 UOB Plaza 2, Singapore 048624	<ul style="list-style-type: none"> • The Monetary Authority of Singapore • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Labuan Branch	Level 7 (E2) Main Office Tower, Financial Park Labuan Complex, Jalan Merdeka 87000 F. T., Labuan Malaysia	<ul style="list-style-type: none"> • Labuan Offshore Financial Services Authority • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Kuala Lumpur Marketing Office	Suite 12-04, Level 12, Wisma Goldhill, 67, Jalan Raja Chulan, 50200, Kuala Lumpur Malaysia	
Hong Kong Branch	Suite 2201, 22F,Prudential Tower, The Gateway, Harbour City, 21 Canton Road, Tsimshatsui , Kowloon, Hong Kong	<ul style="list-style-type: none"> • Hong Kong Monetary Authority • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Sydney Branch	Level 8, 10 Spring Street, Sydney, N.S.W. 2000 Australia	<ul style="list-style-type: none"> • Australian Prudential Regulation Authority • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Brisbane Branch	Suite 1-3, 3 Zamia Street Sunnybank, Q.L.D. 4109 Australia	<ul style="list-style-type: none"> • Australian Prudential Regulation Authority • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Melbourne Branch	Level 20, 459 Collins Street Melbourne, VIC 3000 Australia	<ul style="list-style-type: none"> • Australian Prudential Regulation Authority • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)

Entity	Address	Banking Authority
London Branch	4 th Floor, Michael House, 35 Chiswell Street, London, EC1Y 4SE United Kingdom	<ul style="list-style-type: none"> • Financial Conduct Authority, U.K. • Prudential Regulatory Authority, U.K. • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Phnom Penh Branch	No. 139, St. No.274 corner street No.41, Phum Phum 5, Sangkat Boeng Keng Kang Ti Muoy, Khan Boeng Keng Kang, Phnom Penh, Cambodia	<ul style="list-style-type: none"> • National Bank of Cambodia • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Phnom Penh Airport Sub-Branch	No.601, Russian Federation Blvd., Phum Paprak Khang Cheung, Sangkat Kakab 1, Khan Pur SenChey, Phnom Penh, Cambodia	
Olympic Sub-Branch	No. 38B, Preah Monireth Blvd. (Street 217), Phum 10, Sangkat Toul Svay Prey 2, Khan Boeng Keng Kang, Phnom Penh, Cambodia	
Tuol Kouk Sub-Branch	No.2A-2B, Street 315, Phum 8, Sangkat Boeng Kak 1, Khan Tuol Kork, Phnom Penh, Cambodia	
Chamkar Mon Sub-Branch	No.462 AB, Preah Monivong Boulevard 93, Phum 12, Sangkat Toul Basak, Khan Chamkar Mon, Phnom Penh, Cambodia	
Ta Khmau Sub-Branch	No.368 & 369, Street No.21, Phum Thmei 1, Sangkat Ta Khmao, Krong Ta Khmau, Kandal Province, Cambodia	
Suzhou Branch	RM 104, Jianwu Building, No.188, Wangdun Rd., Suzhou Industrial Park, Jiangsu, China P.C. 215028	<ul style="list-style-type: none"> • China Banking Regulatory Commission • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Wujiang Sub-Branch	No.768, Yundong Road, Wujiang Economic and Technological Development Zone, Suzhou, Jiangsu, China	
Kunshan Sub-branch	Room 202, 207, No.858, Qianjin East Road, Kunshan,Suzhou, Jiangsu, China	

Entity	Address	Banking Authority
Ningbo Branch	NO.1880 Zhongshan East Road, Jiangdong district, Ningbo, Zhejiang Province, China	<ul style="list-style-type: none"> • China Banking Regulatory Commission • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Canada Branch Toronto Principal Office Vancouver Office	North York Madison Centre 4950 Yonge Street, Suite 1002 Toronto , Ontario M2N 6K1 Canada 1095 West Pender Street, Suite 1250 Vancouver, British Columbia, V6E 2M6 Canada	<ul style="list-style-type: none"> • Office of the Superintendent of Financial Institutions Canada • Canadian Deposit Insurance Corporation • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Yangon Branch	Unit No.12-08/09/10, Level 12, Junction City Tower, Corner of Bogyoke Aung San Road and 27th Street, Pabedan Township, Yangon, Myanmar	<ul style="list-style-type: none"> • Central Bank of Myanmar (CBM) • Myanmar Financial Intelligence Unit (MFIU) • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)
Mega International Commercial Bank Public Company Limited (Subsidiary)	36/12 P.S. Tower, Asoke, Sukhumvit 21 Road, Klongtoey-nua, Wattana, Bangkok 10110, Thailand	<ul style="list-style-type: none"> • Bank of Thailand • Financial Supervisory Commission, R.O.C. • Central Bank of Republic of China (Taiwan)