

重要提示 Important Notes :

1. 兆豐國際商業銀行(下稱本行)依據財政部「金融機構執行共同申報及盡職審查作業辦法(下稱財政部辦法)」規定，蒐集及申報有關帳戶持有人稅務居住者身分之特定資訊。財政部辦法係依稅捐稽徵法第5條之1第6項訂定，其內容參考經濟合作暨發展組織發布之共同申報及盡職審查準則。

Under the Regulations Governing the Implementation of the Common Standard on Reporting and Due Diligence for Financial Institutions (“Regulations”), Mega International Commercial Bank (“the Bank”) is required to collect and report certain information about the Account Holder’s tax residency status. The Regulations are enacted pursuant to Paragraph 6, Article 5-1 of the Tax Collection Act and are drafted in reference to the Common Standard on Reporting and Due Diligence for Financial Account Information (CRS) developed by the Organization for Economic Cooperation and Development (OECD).

2. 本行依財政部辦法規定取得帳戶持有人之自我證明文件，以辨識帳戶持有人為稅務居住者之國家/地區，可能將本表及該帳戶其他資訊提供中華民國稅捐稽徵機關，經由政府間協定進行稅務目的金融帳戶資訊交換，提供他方國家/地區稅捐稽徵機關。

Under the Regulations, the Bank obtains a self-certification form from the Account Holder to determine the country(ies)/jurisdiction(s) in which the Account Holder is a tax resident. The Bank may be legally obliged to submit the information in this form and other financial information with respect to the account to the tax authorities of the Republic of China (Taiwan) (“ROC”). Such information may be provided to tax authorities of another country(ies)/jurisdiction(s) through the exchange of financial account information for tax purposes pursuant to intergovernmental agreements.

3. 如屬聯名帳戶，各帳戶持有人應分別填寫自我證明表。

For joint or multiple Account Holders, complete a separate form for each Account Holder.

4. 本表將持續有效，倘狀態變動（例如帳戶持有人之稅務居住者身分變動）致所填資訊不正確或不完整，帳戶持有人應通知本行，並更新本表。

This form will remain valid unless there is a change in circumstances relating to information, such as the Account Holder’s tax residency status, that makes this form incorrect or incomplete. In that case, the Account Holder must notify the FI and provide an updated self-certification form.

5. 本表相關用詞(如帳戶持有人、稅務識別碼、金融帳戶等)，請詳財政部辦法。The definition of the capitalized terms used in this form, such as Account Holder, Tax Identification Number (“TIN”), Financial Account, etc. can be found in the Regulations.

稅務居住者之國家/地區及其稅務識別碼或具相當功能之辨識碼**Country/Jurisdiction of Residence for Tax Purposes and related TIN or functional equivalent number**

請於下表填寫帳戶持有人為稅務居住者之國家/地區，及於該國家/地區稅務識別碼。

Complete the following table indicating the country/jurisdiction where the Account Holder is a tax resident and the Account Holder’s TIN for each country/jurisdiction indicated.

帳戶持有人如同時為2個以上國家/地區稅務居住者，請填寫所有其為稅務居住者之國家/地區。

If the Account Holder is a tax resident in more than one country/jurisdiction at the same time, please indicate all countries/jurisdictions of tax residence.

如帳戶持有人為中華民國稅務居住者，填列稅務識別碼如下：

1. 具身分證字號者為身分證字號(10碼，由內政部戶政司編配)。
2. 具統一證號者為統一證號(10碼，由內政部移民署編配)。

3. 個人無身分證字號或統一證號者，得依稅籍編號編碼原則自行編配：(1)大陸地區人民：9+西元出生年後2碼及出生月日4碼。(2)非屬(1)之其他個人：西元出生年月日8碼+護照顯示英文姓名前2字母2碼。If the Account Holder is a tax resident of the ROC, his or her TIN is as follows:

1. National ID Card Number (a 10-digit code issued by the Department of Household Registration, Ministry of the Interior)

2. Uniform ID Number (a 10-digit code issued by the National Immigration Agency, Ministry of the Interior)

3. For those who have neither National ID Card Number nor UI Number, they may produce Tax Code themselves by reference to the coding principle:(1) For individuals of Mainland China area: 9+yy+mm+dd (for example born on October 25, 1985, the code would be 9851025); (2)For individuals other than the ones indicated in (1): yyyy+mm+dd + the first two letters of his or her English name in order printed on his or her passport. (for example, the Tax code for David Caruso born on October 25, 1985, would be 19851025DA)

聲明及簽署 Declarations and Signature

本人知悉，本表所含資訊、相關帳戶持有人及任何應申報帳戶資訊，將可能提供中華民國稅捐稽徵機關，經由政府間協定進行稅務目的金融帳戶資訊交換，提供帳戶持有人為稅務居住者之國家/地區稅捐稽徵機關。

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the ROC and provided to tax authorities of another country(ies)/jurisdiction(s) in which the Account Holder may be a tax resident through the exchange of financial account information for tax purposes pursuant to intergovernmental agreements..

本人證明，與本表相關之所有帳戶，本人為帳戶持有人(或本人業經帳戶持有人授權簽署本表)。

I certify that I am the Account Holder (or I am authorized to sign for the Account Holder) of all the account(s) to which this form relates.

本人聲明，就本人所知所信，於本自我證明所為之陳述均為正確且完整。

I declare that all made in this form are, to the best of my knowledge and belief, correct and complete.

本人承諾，如狀態變動致影響本次申請所述之個人稅務居住者身分，或致載資料不正確或不完整，本人會通知兆豐國際商業銀行，並在狀態變動後30日內提供兆豐國際商業銀行一份經適當更新之自我證明表。

I undertake to advise Mega International Commercial Bank of any change in circumstances which affects the tax residency status of the individual identified of this application or causes the information contained herein to become incorrect or incomplete, and to provide Mega International Commercial Bank with a suitably updated self-certification form within 30 days of such change in circumstances.