



# 兆豐國際商業銀行股份有限公司香港分行

MEGA INTERNATIONAL COMMERCIAL BANK CO., LTD.

HONG KONG BRANCH (Incorporated in Taiwan with limited liability)

## 帳戶持續審查資料表(個人/聯名帳戶) ACCOUNT ONGOING REVIEW FORM (Individual/Joint Account)

銀行專用 (For Office Use Only)	
帳號 Account No.	
證照核對 Document(s) Checked by	
經辦 Handled by	覆核 Approved by
日期 Date	
日 Day	月 Month 年 Year

致: 兆豐國際商業銀行股份有限公司, 香港分行(「貴行」, 該表述包括繼承人和受讓人)

To: Mega International Commercial Bank Co., Ltd., Hong Kong Branch  
(the "Bank", which expression shall include its successors and assigns)

注意: 請用正楷填寫本申請表上各欄, 並在適當的方格內加上“✓”號及刪除不適用者。

Note: Please complete all sections in BLOCK LETTERS and mark “✓” where applicable and delete as appropriate.

帳戶類型 Account Type	
<input type="checkbox"/> 個人帳戶 Individual Account	<input type="checkbox"/> 聯名帳戶 Joint Account (請額外填寫「聯名帳戶持有人/申請人之資料記錄表」Please also complete the Information Sheet for Joint Account Holder/Applicant.)

帳戶持有人資料 Account Holder Information(亦適用於聯名帳戶主要帳戶持有人 Also for Primary Account Holder of Joint Account)	
<input type="checkbox"/> 男 Male <input type="checkbox"/> 女 Female	<input type="checkbox"/> 先生 Mr. <input type="checkbox"/> 小姐 Miss <input type="checkbox"/> 女士 Ms. <input type="checkbox"/> 太太 Mrs.
中文姓名 Name in Chinese	英文姓名 Name in English
曾用名/別名(如適用) Former Name/Other Name (if applicable)	
中文名稱 Name in Chinese	英文名稱 Name in English

身份證明文件資料 Identification Document Details	多重國籍 (國家/地區) Multiple Nationalities (Countries/Regions)		<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
	請列出所有持有公民身份/國籍的國家 Please list all countries for which you hold citizenship/nationality		
	1.	2.	3.
	身份證明文件類型 ID Document Type		出生日期 Date of Birth (YYYY/MM/DD)
	<input type="checkbox"/> 香港身分證 HKID	號碼 No	簽發日期 Issuing Date
	<input type="checkbox"/> 台灣身分證 TWID	號碼 No	簽發日期 Issuing Date
	<input type="checkbox"/> 護照 Passport (簽發國家 Issuing Country: _____)		簽發日期 Issuing Date (YYYY/MM/DD)
	<input type="checkbox"/> 其他, 請註明 Others, please specify _____		
在港開立戶口的理由 (香港份證持有人不適用) Reason(s) for Setting Up Account in Hong Kong (Not applicable to Hong Kong Identity Card Holder) 如有需要, 可勾選多於一項 Tick more than one box, if appropriate			
<input type="checkbox"/> 移民 Immigration <input type="checkbox"/> 工作 Work <input type="checkbox"/> 在港家庭收支 Family expenses in Hong Kong <input type="checkbox"/> 在港擁有房產/支付租金開支 Own real estate property/pay rental expenses in Hong Kong			
<input type="checkbox"/> 在港投資 Investment in Hong Kong <input type="checkbox"/> 留學 Study <input type="checkbox"/> 在港繳交保費/按揭還款 For insurance payment/mortgage repayment in Hong Kong <input type="checkbox"/> 經常到訪香港的開支 Frequent travel to Hong Kong, account for expenses in Hong Kong			
<input type="checkbox"/> 其他, 請註明 Others, please specify _____			

個人地址 Personal Address	住宅地址 Residential Address			
	永久地址 Permanent Address (□與住宅地址相同 same as Residential Address)			
	通訊地址 Correspondence Address(□與住宅地址相同 same as Residential Address □與永久地址相同 same as Permanent Address)			
流動電話號碼 Mobile Phone No.	住宅電話號碼 Home Phone No.	公司電話號碼 Office Phone No.	傳真號碼 Fax No.	
主要電郵地址 Primary Email Address			其他電郵地址 Other Email Address	

已選取使用電子單據查詢系統為收取帳戶結單方式的客戶，上述主要電郵地址將於電子單據系統登記為本帳戶之授權電郵地址，帳戶結單則將傳送至上述主要電郵地址，帳戶持有人並得以用上述主要電郵地址於電子單據系統查詢及下載帳戶結單。倘若本表格內上述之主要電郵地址與帳戶持有人原來通知貴行之電郵地址不同者，以本表格上述之主要電郵地址為準。

In case the customer had opted for eBill system, the Primary Email Address specified above will be registered for the Account in the eBill system. The statements of the Account will be transmitted to the Primary Email Address as specified above. The Account Holder should use the registered Primary Email Address to enquire and download the statements of the Account from the eBill system. Where the Primary Email Address specified above in this Form is different from the previous email address notified by the Account Holder to the Bank, the Primary Email Address in this Form shall prevail.

#### 職業狀況 Employment Status

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> # 自僱<br>Self-employed         | <input type="checkbox"/> # 全職受僱<br>Full-time employed | <input type="checkbox"/> # 兼職受僱/臨時性質/合約僱員工<br>Part-time/Temporary/Contract worker | <input type="checkbox"/> 退休人士<br>Retired               |
| <input type="checkbox"/> 非在職<br>Not Currently Employed | <input type="checkbox"/> 學生<br>Student                | <input type="checkbox"/> 家庭主婦<br>Housewife  | <input type="checkbox"/> 其他，請註明 Others, please specify |

# 自僱、全職或兼職的客戶，必須填寫下列的職業資料（現有客戶其職業資料與上次更新的資料相同除外）

For self-employed, full-time employed or part-time employed Customers, please also complete the employment information below (EXCEPT for existing customer whose employment information is same as last update)

#### 職業資料 Employment Information

職業 Occupation	任職公司名稱 Name of Employer		
任職公司業務性質／行業類別 Nature of Business/ Type of Industry	職稱 Job Title	工作年資 Years of Service	
		年 Years	月 Months

#### 公司地址 Office Address

- ☐ 香港 Hong Kong    ☐ 中國大陸 Mainland China    ☐ 台灣 Taiwan    ☐ 其他，請註明 Others, please specify:

公司地址 Office Address

#### 個人年收入 Annual Personal Income (美金 US \$)

- ☐ ≤ 20,000    ☐ 20,001 – 50,000    ☐ 50,001 – 100,000    ☐ 100,001 – 500,000    ☐ 500,001 – 1,000,000    ☐ >1,000,000

#### 估計資產淨值 (Estimated Net Worth)(美金 US\$)

- ☐ 100,000 or less    ☐ 100,001-500,000    ☐ 500,001-1,000,000    ☐ 1,000,001-5,000,000  
☐ 5,000,001- 10,000,000    ☐ 10,000,001-50,000,000    ☐ 50,000,001 or above

#### 戶口用途 Account Purpose (如有需要，可勾選多於一項)(tick more than one box, if appropriate)

- |  |  |   |   |
|--|--|---|---|
| <input type="checkbox"/> 儲蓄／定期存款<br>Savings/Time Deposit | <input type="checkbox"/> 薪資帳戶<br>Payroll | <input type="checkbox"/> 投資<br>Investment | <input type="checkbox"/> 其他，請註明 Others, please specify: |
|--|--|---|---|

#### 帳戶持有人聲明 Account Holder Declaration

1. 帳戶持有人已細閱及完全明白本帳戶持續審查資料表之內容，帳戶持有人確認本帳戶持續審查資料表所載資料及資訊均屬真實、正確、最新及完整的資料。如帳戶持續審查資料表所載之資料及資訊有任何更改，帳戶持有人承諾會即時於三十天內以貴行規定之表格通知貴行，並提供任何資料更新或文件的核證副本（包括根據任何法律、規例或任何監管或稅務機構所發出的指引而要求取得的證明或其他文件，如適用）。

The Account Holder(s) has/have read and fully understood the contents of this Account Ongoing Review Form. The Account Holder(s) confirm(s) that information and data given by the Account Holder in this Account Ongoing Review Form is true, correct, updated and complete. The Account Holder(s) further undertake(s) to notify the Bank forthwith in the form prescribed by the Bank in case of any change of any such information and data within 30 days of such change, and to provide certified copies of any replacement or documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities, if applicable).

2. 帳戶持有人確認及聲明，帳戶持有人已獲提供下列文件副本及已詳細閱讀其條文，並同意受其約束：  
The Account Holder(s) acknowledge(s) and declare(s) that the Account Holder has been provided with a copy of the following documents and carefully read and agreed to be bound by the same:-
- 《銀行服務總條款》；  
“Master Terms for Banking Service”;
  - 《關於香港個人資料(私隱)條例致客戶的通告》；  
“Notice to Customers relating to Hong Kong’s Personal Data (Privacy) Ordinance”;
  - 《關於兆豐國際商業銀行履行台灣個資法告知義務內容之通知－履行中華民國個人資料保護法第八條第一項告知義務內容》（僅限擁有中華民國籍人士適用）；
  - “Mega International Commercial Bank’s Statement of Advice Regarding the Compliance of the Disclosure Obligation as Prescribed in the Personal Information Protection Act of the Republic of China – Statement of Advice Regarding the Compliance of Paragraph 1 of Article 8 of the Personal Information Protection Act of the Republic of China” (Only Applicable to Holder(s) of the Republic of China Citizenship);
  - 《關於兆豐國際商業銀行履行台灣個資法告知義務內容之通知－履行中華民國個人資料保護法第九條第一項告知義務內容》（僅限擁有中華民國籍人士適用）；及  
“Mega International Commercial Bank’s Statement of Advice Regarding the Compliance of the Disclosure Obligation as Prescribed in the Personal Information Protection Act of the Republic of China – Statement of Advice Regarding the Compliance of Paragraph 1 of Article 9 of the Personal Information Protection Act of the Republic of China” (Only Applicable to Holder(s) of the Republic of China Citizenship); and
  - 《稅務要求通知》；  
“Tax Requirement Notice”；
  - 《兆豐國際商業銀行全球金融網服務約定事項》（如已申請全球金融網服務）。  
“Terms and Conditions of Mega International Commercial Bank Global eBanking Services” (Applicable to account holder(s) using the global eBanking services).
3. 帳戶持有人聲明就其所知所信，與本帳戶持續審查資料表一併遞交之下述表格/文件(如適用)內所填報的所有資料和聲明均屬真實、正確和完備並同意受該等表格/文件之條文約束：  
The Account Holder(s) declare(s) that the information given and statements made in the following forms/documents (where applicable) submitted together with this Account Ongoing Review Form are, to the best of his/her/their knowledge and belief, true, correct and complete and agree to be bound by the provisions therein:-
- 《自我證明表格-個人(包含獨資企業)》；  
“Self-Certification Form – Individual (Including Sole Proprietorship)”;
  - 《稅務聲明》；  
“Tax Declaration Form”;
  - 《傳真及電子傳送交易指示彌償書》；及  
“Facsimile and Electronically Transmitted Instruction Indemnity”; and
4. 就上述文件及本帳戶持續審查資料表，帳戶持有人已被邀請提問及於簽署本帳戶持續審查資料表及相關文件前可尋求獨立及專業意見(如有需要)。帳戶持有人確認帳戶持有人已細閱及明白上述文件及本帳戶持續審查資料表之內容並同意受其約束。  
The Account Holder(s) has/have been invited to ask questions and take independent and professional advice on the above documents and this Account Ongoing Review Form before signing this Account Ongoing Review Form and the incidental documents (if necessary). The Account Holder(s) hereby confirm(s) that the Account Holder(s) has/have read and fully understood the contents of the abovementioned documents and this Account Ongoing Review Form and agrees to be bound by them.
5. 帳戶持有人確認及明白，除非上下文另有規定，本帳戶持續審查資料表採納《銀行服務總條款》的定義詞語。  
The Account Holder(s) acknowledge(s) and understand(s) that the defined terms in the “Master Terms for Banking Service” are adopted in this Account Ongoing Review Form unless the context otherwise requires.
6. (適用於非美國公民) 帳戶持有人確認及聲明如帳戶持有人此後擁有美國國籍或擁有美國永久居留權，帳戶持有人會即時以書面通知貴行。  
(Applicable to non-US Person) The Account Holder(s) acknowledge(s) and declare(s) that he/she/they shall notify the Bank in writing as and when the he/she/they become(s) holder(s) of U.S. citizenship or permanent right of abode.
7. 帳戶持有人確認及明白貴行並不對任何帳戶持有人因疏忽或故意失責而未有及時向貴行提供最新資料而引致的一切直接或間接後果而承擔任何責任，並承諾對貴行及其董事、主管人員及僱員就未有通知貴行該等資訊而招致的所有損失或損害作出充份彌償，並持續對其作出彌償。  
The Account Holder(s) acknowledge(s) and understand(s) that the Bank shall not be liable for any direct or indirect consequences in relation to the negligence or willful default in supplementing the Bank with the latest information in a timely manner and the Account Holder(s) undertake to indemnify and keep indemnified the Bank and its directors, officers and employees from and against any and all losses and damages arising out of or in connection with the failure by the Account Holder(s) to notify the Bank of such information at all times.
8. 帳戶持有人明白、確認及同意，為提供銀行服務、防止金融犯罪、反洗錢、打擊恐怖分子融資活動及遵循適用法律及規例所需，貴行可按其絕對酌情認為適當的情況下，帳戶持有人之個人資料及交易紀錄或須披露予其他司法管轄區的主管當局或執法機構(包括但不限於台灣及美國)、以及貴行總行及其分支機構、與提供貴行代理銀行服務之代理銀行等機構，而毋須取得帳戶持有人之事先同意。該等資料有可能被移轉至香港特別行政區外。  
The Account Holder(s) understand(s), acknowledge(s) and agree(s) that, for the purpose of provision of banking services, prevention of financial crimes, anti-money laundering, counter-terrorist financing and compliance with applicable laws and regulations, under

circumstances which the Bank at its absolute discretion determine to be appropriate, the personal data of the Account Holder(s) and the transaction record(s) may be disclosed to the competent authority or law enforcement agency of other jurisdictions including, without limitation, the competent authority or law enforcement agency of Taiwan and the U.S., the Head Office of the Bank and the subsidiaries/branches of the Head Office, and the correspondent banks and institutions which provide correspondent banking services to the Bank without the prior consent of the Account Holder(s). Such Information may be transferred to a place outside the Hong Kong Special Administrative Region.

9. 帳戶持有人進一步確認及同意，貴行可按其絕對酌情(但無責任)，依據本帳戶持續審查表及隨附之資料記錄表所提供之資料逕予修改及更新帳戶持有人於貴行所有相關帳戶之資訊，包括但不限於存款、理財、授信及外匯帳戶，以及貴行因提供銀行服務、防止金融犯罪、反洗錢、打擊恐怖分子融資活動及遵循適用法律及規例所需，而收集之帳戶持有人資訊。

The Account Holder(s) further confirm(s) and agree(s) that the Bank could, at its absolute discretion but is not obliged to, amend and update the information of the Account Holder(s) in all relevant Accounts including but not limited to Deposit, Securities, Loan and Trade Finance Accounts, and the information of Account Holder(s) which is collected by the Bank for the purpose of provision of banking services, prevention of financial crimes, anti-money laundering, counter-terrorist financing and compliance with applicable laws and regulations according to information provided by the Account Holder(s) in this Account Ongoing Review Form and the attached Information Sheet(s).

10. 本帳戶持續審查資料表之中英文版本如有歧異，應以英文版本為準。

The English version of this Account Ongoing Review Form shall prevail if there is any discrepancy between the English and Chinese versions.

請按提交予貴行之簽字式樣簽署。

Please sign as per the specimen signature(s) filed with the Bank.

S.V.

帳戶持有人簽署

Signature(s) of Account Holder(s)

(與原留簽樣相同 Same as that in the Bank's record)

正楷姓名 Name in block letters

日期 Date

只適用於聯名帳戶 Only Applicable to Joint Account

S.V.	S.V.	S.V.
X	X	X
第二聯名帳戶持有人簽署 Signature of the 2nd Joint Account Holder	第三聯名帳戶持有人簽署 Signature of the 3rd Joint Account Holder	第四聯名帳戶持有人簽署 Signature of the 4th Joint Account Holder
正楷姓名 Name in block letters	正楷姓名 Name in block letters	正楷姓名 Name in block letters
日期 Date	日期 Date	日期 Date

銀行專用 (For Office Use Only)

- ☐ 此戶是聯名帳戶，聯名帳戶持有人共\_\_人，本持續審查資料表已記錄第一帳戶持有人之個人資料，其他聯名帳戶持有人資料請見附上的聯名帳戶持有人/申請人之資料記錄表。

The Account is a Joint Account with \_\_\_\_ Joint Account Holder(s). The 1st Joint Account Holder's personal particulars are set out this Account Opening Form. For the other Joint Account Holder(s), please refer to the particulars as specified in the attached Information Sheet(s) for Joint Account Holder/Applicant.